

UOB Principal Guaranteed Structured Deposit SD 2013 – Series (3)

Return Tracker

Indicative Performance as at 04 January 2017 **

Share	Bloomberg Code	Currency	Initial Price 21-Oct-2013	Barrier (95% of Initial Price)	Closing Price as of 04-Jan-2017	Closing Price Above Barrier?
Ascendas Real Estate Investment Trust ²	AREIT SP Equity	SGD	2.3277	2.2113	2.310	Yes
DBS Group Holdings Limited	DBS SP Equity	SGD	16.600	15.7700	17.520	Yes
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	5.820	No
SembCorp Marine Limited	SMM SP Equity	SGD	4.610	4.3795	1.420	No
Singapore Telecommunications Limited	ST SP Equity	SGD	3.680	3.4960	3.670	Yes

Bloomberg code for reference only.

Indicative Performance as at 04 January 2017 **

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.

Indicative Bonus Interest Rate = 0% **

** Indicative only since the actual Bonus Interest Rate will depend on the actual Closing Prices of the Shares on the next Observation Date, 16 October 2017.

Total guaranteed interest of 4.80% was paid to-date.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2014.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2015.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2016.

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Performance on Observation Date(3), 14 October 2016

Share	Bloomberg Code	Currency	Initial Price 21-Oct-2013	Barrier (95% of Initial Price)	Closing Price as of 14-Oct-2016	Closing Price Above Barrier?
Ascendas Real Estate Investment Trust ²	AREIT SP Equity	SGD	2.3277	2.2113	2.420	Yes
DBS Group Holdings Limited	DBS SP Equity	SGD	16.600	15.7700	15.030	No
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	5.290	No
SembCorp Marine Limited	SMM SP Equity	SGD	4.610	4.3795	1.320	No
Singapore Telecommunications Limited	ST SP Equity	SGD	3.680	3.4960	3.880	Yes

Bloomberg code for reference only.

Performance on Observation Date(3), 14 October 2016

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

There is no bonus interest rate paid on 14 October 2016.

Total guaranteed interest of 4.80% was paid to-date.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2014.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2015.

Guaranteed Fixed Interest Rate of 1.60% was paid on 21 October 2016.

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Performance on Observation Date(2), 14 October 2015

Share	Bloomberg Code	Currency	Initial Price 21-Oct-2013	Barrier (95% of Initial Price)	Closing Price as of 14-Oct-2015	Closing Price Above Barrier?
Ascendas Real Estate Investment Trust	AREIT SP Equity	SGD	2.330	2.2135	2.418	Yes
DBS Group Holdings Limited	DBS SP Equity	SGD	16.600	15.7700	17.430	Yes
Keppel Corporation Limited ¹	KEP SP Equity	SGD	7.0803	6.7263	7.290	Yes
SembCorp Marine Limited	SMM SP Equity	SGD	4.610	4.3795	2.600	No
Singapore Telecommunications Limited	ST SP Equity	SGD	3.680	3.4960	3.860	Yes

Bloomberg code for reference only.

Performance on Observation Date(2), 14 October 2015

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

There is no bonus interest rate paid on 14 October 2015.

Guaranteed Fixed Interest Rate of 1.6% was paid on 21 October 2014.

UOB Principal Guaranteed Structured Deposit SD 2013 – Series (3)

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Performance on Observation Date(1), 14 October 2014

Share	Bloomberg Code	Currency	Initial Price 21-Oct-2013	Barrier (95% of Initial Price)	Closing Price as of 14-Oct-2014	Closing Price Above Barrier?
Ascendas Real Estate Investment Trust	AREIT SP Equity	SGD	2.330	2.2135	2.200	No
DBS Group Holdings Limited	DBS SP Equity	SGD	16.600	15.7700	17.960	Yes
Keppel Land Limited	KPLD SP Equity	SGD	3.670	3.4865	3.240	No
SembCorp Marine Limited	SMM SP Equity	SGD	4.610	4.3795	3.650	No
Singapore Telecommunications Limited	ST SP Equity	SGD	3.680	3.4960	3.710	Yes

Bloomberg code for reference only.

Performance on Observation Date(1), 14 October 2014

Since not all the Closing Prices of the Shares are at or above 95% of their respective Initial Prices, Payment Condition is not fulfilled.
Bonus Interest Rate = 0%

There is no bonus interest rate paid on 14 October 2014.

Notice of Corporate Action

¹ Keppel Land Limited (KPLD SP) / Keppel Corporation Limited (KEP SP)

Corporate Action : Acquisition

Effective Date : 16 July 2015

Additional Details : Keppel Land Limited (KPLD SP) was acquired at SGD 4.24 per share and will be replaced by Keppel Corporation Limited (KEP SP).

Adjustment Price Ratio = Acquisition Price / Closing Price = SGD 4.24 / SGD 3.67 = 1.155313

Adjusted Initial Price = Closing Price of KEP SP on effective date / Adjustment Price Ratio = SGD 8.18 / 1.155313 = **SGD 7.0803**

Share	Bloomberg Code	Initial Price, 21 Oct 2013	Barrier (95% of Initial Price)
Keppel Corporation Limited ¹	KEP SP Equity	7.0803	6.7263

² Ascendas Real Estate Investment Trust (AREIT SP)

Corporate Action: Rights Issue

Details: Existing shareholders will receive 3 rights issue for every 80 shares of AREIT SP.

The subscription price for each rights issued is SGD 2.218

Effective Date: 28th Dec 2015

Adjustment Factor: 0.999017

Original Initial Price: SGD 2.330

Adjusted Initial Price: SGD 2.330 * 0.999017 = SGD 2.3277

Share	Bloomberg Code	Initial Price 21-Oct-2013	Barrier (95% of Initial Price)
Ascendas Real Estate Investment Trust ²	AREIT SP Equity	2.3277	2.2113